



AFRL-RX-WP-TP-2009-4331

**SIMULATION OF LIFE TESTING PROCEDURES FOR
ESTIMATING LONG-TERM DEGRADATION AND
LIFETIME OF AlGa_N/Ga_N HEMTs (Postprint)**

Eric R. Heller

Wright State University

OCTOBER 2009

Interim Report

Approved for public release; distribution unlimited.

See additional restrictions described on inside pages

STINFO COPY

©2008 IEEE

**AIR FORCE RESEARCH LABORATORY
MATERIALS AND MANUFACTURING DIRECTORATE
WRIGHT-PATTERSON AIR FORCE BASE, OH 45433-7750
AIR FORCE MATERIEL COMMAND
UNITED STATES AIR FORCE**

REPORT DOCUMENTATION PAGE					<i>Form Approved</i> OMB No. 0704-0188	
The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.						
1. REPORT DATE (DD-MM-YY) October 2009		2. REPORT TYPE Journal Article Postprint		3. DATES COVERED (From - To) 03 May 2007 – 30 September 2009		
4. TITLE AND SUBTITLE SIMULATION OF LIFE TESTING PROCEDURES FOR ESTIMATING LONG-TERM DEGRADATION AND LIFETIME OF AlGaIn/GaN HEMTs (Postprint)				5a. CONTRACT NUMBER FA8650-06-D-5401-0004		
				5b. GRANT NUMBER		
				5c. PROGRAM ELEMENT NUMBER 62102F		
6. AUTHOR(S) Eric R. Heller (AFRL/RXPS (formerly with Wright State University))				5d. PROJECT NUMBER 4348		
				5e. TASK NUMBER 71		
				5f. WORK UNIT NUMBER 71105002		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> Wright State University Semiconductor Research Center Dayton, OH 45435 </div> <div style="width: 50%;"> Electronic and Optical Materials Branch (AFRL/RXPS) Survivability and Sensor Materials Division Materials and Manufacturing Directorate, Air Force Research Laboratory Wright-Patterson Air Force Base, OH 45433-7750 Air Force Materiel Command, United States Air Force </div> </div>				8. PERFORMING ORGANIZATION REPORT NUMBER 0018-9383		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Air Force Research Laboratory Materials and Manufacturing Directorate Wright-Patterson Air Force Base, OH 45433-7750 Air Force Materiel Command United States Air Force				10. SPONSORING/MONITORING AGENCY ACRONYM(S) AFRL/RXPS 11. SPONSORING/MONITORING AGENCY REPORT NUMBER(S) AFRL-RX-WP-TP-2009-4331		
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited.						
13. SUPPLEMENTARY NOTES PAO case number WPAFB 08-0159; date cleared: 24 January 2008. ©2008 IEEE. The U.S. Government is joint author of the work and has the right to use, modify, reproduce, release, perform, display, or disclose the work. Published in IEEE Transactions on Electron Devices, Vol. 55, No. 10, October 2008.						
14. ABSTRACT Thermometry and thermo-mechanical: MicroRaman has been used both to track the thermal signatures as devices degrade and to assess the strain evolution within the devices during operation. It was found that both the piezoelectric and thermo-elastic strains decrease the overall strain (reduce the residual strain in the film), with the latter having the greater effect. Modeling: Work has initiated on an improved thermal model that takes into account phonon scattering dynamics. Existing Fourier-based models underpredict the hot spot temperature. Irradiation experiments: Radiation is being explored as a means to controllably damage a device to better understand how specific defects impact performance and lifetime.						
15. SUBJECT TERMS Degradation, FETs, GaN, GaN/AlGaIn, HEMTs, HFETs, life estimation, life testing, MODFETs, reliability, simulation, thermal characterization, thermal resistance						
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT: SAR	18. NUMBER OF PAGES 12	19a. NAME OF RESPONSIBLE PERSON (Monitor) Donald Dorsey 19b. TELEPHONE NUMBER (Include Area Code) (937) 255-9701	
a. REPORT Unclassified	b. ABSTRACT Unclassified	c. THIS PAGE Unclassified				

Simulation of Life Testing Procedures for Estimating Long-Term Degradation and Lifetime of AlGaIn/GaN HEMTs

Eric R. Heller

Abstract—Finite element 3-D thermal simulations of long-term degradation in AlGaIn/GaN HEMTs for high-power applications are reported on, in which temperature evolves over time as the local degradation rate varies within the modeled device based on the local temperature of the degrading region (i.e., the channel). Specifically, hotter regions within a device are modeled as degrading faster due to a thermal component to the degradation rate equation. This allows self-consistent simulation of life testing, commonly used to estimate long-term reliability by extrapolating failure times seen at elevated channel temperatures to a lower “use” temperature. We find that it is necessary to consider the entire distribution of temperatures within the device instead of at one characteristic location to get the most accurate estimates for long-term device life. The effect of device geometry, assumed degradation mode, incorrect thermal resistance data, and dissipated power level on this lifetime estimation error is investigated. It is found that the error in the extrapolated failure time is greatly increased when both the thermal resistance is in error and the dissipated power of the life test does not match the expected power during operation, compared to when only one of these is off.

Index Terms—Degradation, FETs, GaN, GaN/AlGaIn, HEMTs, HFETs, life estimation, life testing, MODFETs, reliability, simulation, thermal characterization, thermal resistance.

I. INTRODUCTION

FOR high-power-density applications, AlGaIn/GaN HEMTs have been identified as very promising devices [1]. Because of the high-power capability and high-temperature survivability of this materials system, groups have designed devices that reach very high channel temperatures (T_{ch}) in operation [2]–[4]. T_{ch} can easily be 100 °C or more above baseplate temperature and can vary greatly from the center of a central finger of a large multifinger device to the edge [2]–[4].

A common method of estimating the long-term operating lifetime of an AlGaIn/GaN HEMT for power radio-frequency (RF) amplification is to conduct a “life test,” where several (typically three) populations of devices are run at different baseplate temperatures with otherwise similar operating conditions. The devices are run until some failure criterion is reached, which, for a dc test, is usually some drop such as 10% in the drain

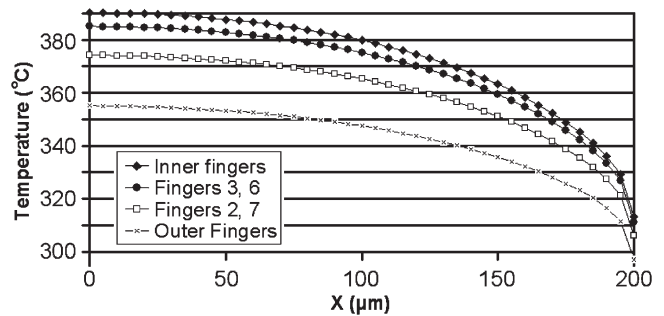


Fig. 1. Temperature along the gates (at the center of the bottom of each gate) for a representative $8 \times 400 \mu\text{m}$ device with $25\text{-}\mu\text{m}$ spacing between gates running at $V_{DS} = 10 \text{ V}$ and $V_{GS} = 0 \text{ V}$, and temperature at the baseplate of $200 \text{ }^\circ\text{C}$. Due to symmetry, only data for half of the fingers and for half of their length are shown.

saturation current I_{DSS} and, for RF testing, is typically a certain drop in RF power or operating efficiency. Higher temperatures generally lead to shorter time to failure, and the data are commonly fitted to a thermal Arrhenius activation energy model for the failure mode responsible for the degradation, where the temperature required in the model is that of the failing region [5]. This Arrhenius activation energy is used to extrapolate the measured lifetimes and find the expected lifetime of the device at the baseplate temperature expected during operation, by extrapolating this temperature to that of the same region that was known to fail.

The long-term failure of a *good* device is commonly attributed to degradation over the entire device structure. This may lead to a sudden destructive run-away event at one point, but it is assumed that the device was fairly uniform in initial properties at the start of operation and that there was no initially weak point that drove the initial degradation. Of course, the device is not at a single uniform temperature during the high-power operation required for testing these devices. Specifically, for the typical large device, the important regions of the device (i.e., channel, gate, source, drain) will be hottest in the center of the device and cooler at the edges, as shown in Fig. 1 [2].

Because of this and due to the temperature-dependent degradation rate assumed in the Arrhenius model, the local degradation rate is expected to vary with position over the device. Traditional failure analysis does not take this into account but uses a single estimated value for the peak temperature. Device modeling will be used to explore how the data extracted from the Arrhenius model changes when this assumption is made compared to when it is not. As time progresses, degradation is seen to change the power distribution within the device, and

Manuscript received February 29, 2008; revised May 14, 2008. Current version published September 24, 2008. The review of this paper was arranged by Editor S. Bandyopadhyay.

The author is with the Materials and Manufacturing Directorate, Air Force Research Laboratory, Wright-Patterson Air Force Base, Dayton, OH 45433 USA and also with the Semiconductor Research Center, Wright State University, Dayton, OH 45435 USA (e-mail: eric.heller@wpafb.af.mil).

Digital Object Identifier 10.1109/TED.2008.2003220

both this and the resulting evolution of temperature with time are modeled. In addition, temperature varies greatly over the source–gate–drain (S-G-D) region, as shown in an earlier work [2] that is built on here and also experimentally by Micro-Raman in [3]. Along the channel, temperature typically peaks at a submicrometer-sized region at the drain edge of the gate where the device is pinched off, but it is not easy to get this exact temperature [2]–[4]. It is expected that the failing region is along the gates where the temperature is highest, and so, this temperature is used for the failure determination in this publication, although it will be briefly mentioned how data extracted from the Arrhenius model change when the region responsible for the degradation changes.

Also to be explored is the change in the thermal conductivity with temperature of some of the materials that GaN HEMTs are composed of, specifically SiC and GaN. Of course, this makes the thermal resistance of the device temperature dependent, and it will be shown through device modeling that this temperature dependence should not be ignored when adjusting the baseplate temperature during a life test. If it is, it can lead to an estimate of the activation energy that is considerably higher than the true value, because a given increase in the baseplate temperature can lead to a much greater increase in the temperature at the failure region. Overestimating the activation energy for failure leads to overly optimistic operating lifetime predictions.

II. MODEL

To model these effects, a representative eight-finger, 400- μm gate width, and 25- μm gate pitch device has been modeled in the finite element program ANSYS [6], along with variations such as two fingers instead, 150- μm gate width, 35- μm gate pitch, etc. The GaN was 1.2- μm thick, the SiC substrate was 350 μm (or 175 μm in one case), and the SiC simulation domain is 2 mm \times 2 mm, chosen to be large enough so that further increases do not change the model results significantly [2], [7]. All boundary conditions are adiabatic except for the bottom of the SiC substrate, which is fixed. Because of fourfold symmetry, only one-fourth of the device is modeled, for example, as four 200- μm fingers with adiabatic boundary conditions at the reflection planes. Because of degradation, the local power dissipation in the device cannot be assumed as constant along the gate width but is assumed to vary with position and is loaded into an initial data file. Temperature-dependent thermal conductivities have been used for GaN and SiC [8] that have been previously reported in the literature and seen in our prior modeling efforts [2] to reproduce the micro-Raman results of Kuball *et al.* [3]. For GaN, this prior work had used the form in [9], but for this work, $1.6 \times (T/293 \text{ K})^{-1} \text{ W}/(\text{cm} \cdot \text{K})$ was used as per [3], which we believe may better reflect the temperature dependence of the GaN thermal conductivity at high temperatures. Either form was seen to give the same qualitative conclusions. Moreover, it is known that the interface between GaN and SiC will have a low thermal conductivity due to high defect density, also known as a thermal boundary resistance [10]–[12]. There is a large variation in the reported values of this resistance, and so, for simplicity, this effect has been neglected here. Fortunately, it is not the absolute temperature

but the difference in temperatures along a device that produces the errors investigated in this model, and the model has been found fairly insensitive to changes of this nature.

The thermal model has been set up to compute and store the temperature as a function of the position along the gate width of each finger for this arbitrary power distribution data file that was mentioned. Instead of one global temperature driving degradation, it is assumed that there is one point within a plane along the S-G-D cross section that is representative of the position of the fail region, and this temperature is what is recorded for local degradation rate modeling. The power distribution data (i.e., $V_{\text{DS}} \times I_{\text{DS,local}}$) that were used by ANSYS and the temperature distribution data are both loaded into a separate utility, and power degradation is computed by a user-defined formula. For all of the simulations that were run for this work, this rate was assumed to be given by

$$P(x, n, t + \Delta t) = P(x, n, t) / \left(1 + \Delta t * A e^{-E_a/k_b T(x, n, t)} \right) \quad (1)$$

where Δt is a time step that is small enough that the degradation rate is roughly constant during its duration (i.e., the power would follow a decaying exponential with time if T was held constant), P is the local power dissipation at one place measured along the gate width (which extends along x) for finger n , T is the local temperature at the region where the failure mode is assumed to take place (for example, the center of the bottom of the gate), and A and E_a are the prefactor and Arrhenius activation energy for the degradation, respectively. Data for this time step are archived, time is incremented, and the power distribution table is recomputed using (1). ANSYS can now be used to compute new temperatures, and this cycle is repeated to the end point time where the degradation has progressed to failure. For some simulations where the baseplate temperature is adjusted during the run, the baseplate input temperature used in ANSYS is adjusted once per time step. Alternatively, some simulations were run where the total power was held constant by effectively adjusting the gate voltage; this is probably more common than is baseplate adjustment for dc life tests of GaN HEMT devices. In this case, first, (1) is used for power degradation, and then, local power is adjusted to restore the total power.

Since the S-G-D spacing over which the high electric fields exist and in which the device current flows is typically $\sim 5 \mu\text{m}$ and not as deep, we assume for our modeling effort that the physics of device failure (i.e., trap generation or a similar process) operate in the micrometer or smaller size scale, and degradation effects at one place in the device do not directly affect another place much farther away on this finger or on another finger. For reference, the gate width is typically ~ 150 – $500 \mu\text{m}$, and the pitch between successive gate fingers is typically $\sim 25 \mu\text{m}$. Of course, there are indirect effects that are included in the modeling effort. Degradation in one place will affect the current flow and may force changes on the gate or drain voltages externally applied to the whole device depending on the testing protocol in place. Also, local power dissipation creates heat that diffuses through the entire device.

The ideal power distribution will have slightly less power density in the center due to higher device temperatures there.

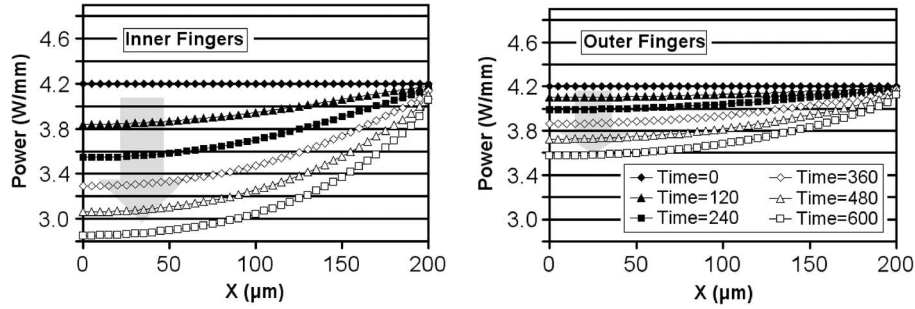


Fig. 2. Power as time (hours) progresses for an $8 \times 400 \mu\text{m}$ initial 200°C baseplate temperature device starting with an initial power of 4.20 W/mm , typical of a HEMT running at $V_{\text{DS}} = 10 \text{ V}$ and $V_{\text{GS}} = 0 \text{ V}$ at this elevated temperature. For clarity, only the two inner fingers and the two outer fingers are plotted. In (1), $A = 2 \times 10^8$ and $E_a = 1.5 \text{ eV}$, and the temperature used for T_{fail} is at the center of the bottom of the gate.

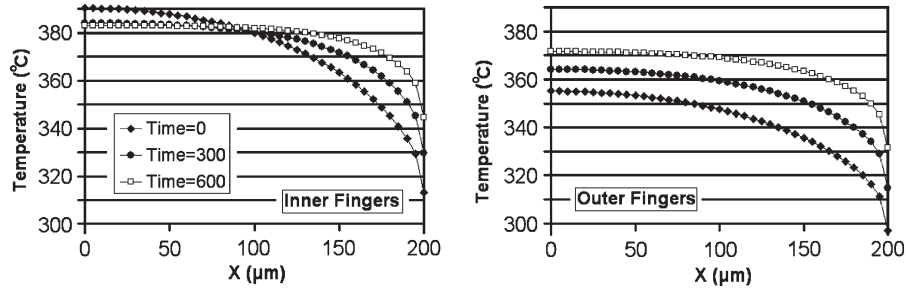


Fig. 3. Temperature as time (hours) progresses for the same device and simulated test as in Fig. 2, with the temperature at the center of the bottom of each gate plotted. The device runs from -200 to $+200 \mu\text{m}$. Baseplate temperature was adjusted up based on R_{th} for the center of the inner finger at $t = 0$; the more rapid degradation in the device center causes the temperature to drop, as shown there.

We expect power to vary as $\sim 1/T^n$ (T in kelvins) with $n \sim 0.6$ for a mostly closed channel [2]. This power distribution was modeled and found to not be a significant source of error, as will be discussed. Therefore, for simplicity, the initial power density has been assumed constant for most of the results reported.

Last, the thermal profile within a device can vary to some degree with the bias used to achieve a given power dissipation. For example, a fully open channel device has heating through the entire channel and also from contact resistance, while heating for a pinched off channel is focused on the pinch-off region, even when the total power is conserved. It is only reasonable to assume that degradation can affect this temperature profile as well, as will small changes in V_{GS} to adjust the total power, both of which will then affect the degradation rate. These effects could vary with the physics of the degradation mechanism and are beyond the scope of this paper.

III. RESULTS

Fig. 1 shows the initial temperature distribution along the gates of the simulated device. Figs. 2 and 3 show the power degradation and the temperature profiles observed when a device is allowed to degrade based on the procedure outlined earlier. The device baseplate temperature is 200°C at time (t) = 0. At $t = 0$, the full initial power is supplied to the device. Temperatures are highest in the center of the centermost fingers, and so, power degradation is greatest here. Likewise, degradation is slowest at the edges of the device, and in this example, the initial degradation at the far edge is $\sim 1.5\%$ of the rate in the center. Degradation has been allowed to progress for a $\sim 19\%$ drop in total power (beyond typical failure limits)

to show trends. To more realistically simulate a life test, the baseplate temperature has been continually adjusted up to try to keep the channel at a uniform temperature, as may be done experimentally. This is typically done by measuring or otherwise determining the temperature rise over the baseplate temperature for the desired power level at the assumed “failing” region and computing a “thermal resistance” R_{th} by dividing by the power. In practice, this can only be done approximately because, as was shown, the device has a considerable temperature variation. The typical way to approximate this experimentally is to compute R_{th} between the center of one of the middle fingers (the hottest place) and the baseplate, and dividing by the total power dissipation (P_{tot}) of the device, even though this is not the same as the local power dissipation. The failing region (often assumed to be the channel) temperature $T_{\text{fail}} = T_{\text{base}} + R_{\text{th}} \times P_{\text{tot}}$ is then held constant by adjusting T_{base} as P_{tot} decreases, where

$$T_{\text{fail}} = T_{\text{base}} + R_{\text{th}} \times P_{\text{tot}}. \quad (2)$$

In reality, the thermal resistance is a function of T_{base} , P_{tot} , actual device bias, local power dissipation, etc., but this is often neglected. Fig. 3 shows the resulting temperature profile when duplicating this procedure with the model; the baseplate temperature was raised from 200°C at $t = 0$ to 236.3°C at $t = 600 \text{ h}$ to keep T_{fail} constant based on (2). It is shown that, in reality, the temperature varies greatly, even in the center of the middle finger, and in this case, the average channel temperature increased by 17°C .

Fig. 4 shows the same device as in Fig. 2, under the same initial conditions. In this case, instead of adjusting T_{base} for

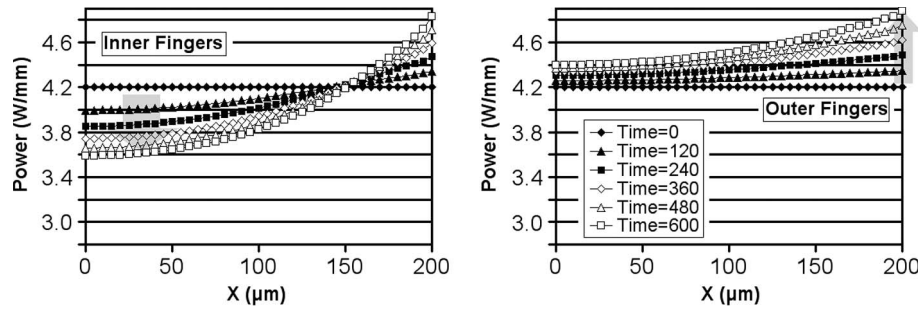


Fig. 4. Power as time (hours) progresses for a device similar to Fig. 2 and for the same initial conditions, except that, instead, the power is held constant by adjusting V_{GS} , and baseplate temperature is a constant 200°C .

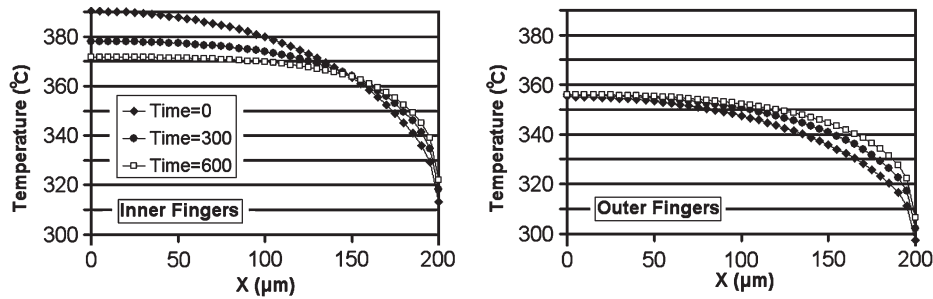


Fig. 5. Temperature as time (hours) progresses for the same device and simulated test as in Fig. 4.

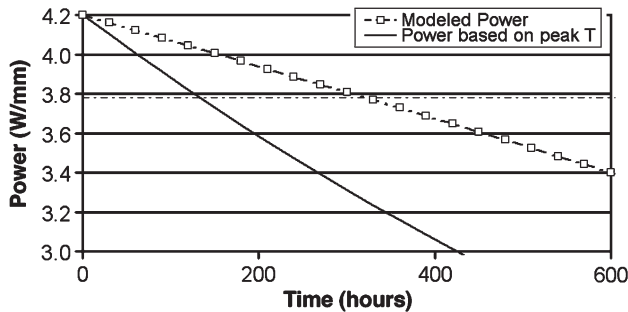


Fig. 6. Degradation of average device power shown with time for $E_a = 1.5\text{ eV}$ and $A = 2 \times 10^8$ with 200°C starting baseplate temperature. Triangles show the degradation based on the modeled temperature profiles of Fig. 5, and the line without data points shows the rate computed, assuming that the entire failure region is at T_{fail} . The thin dash-dotted line shows the 10% power degradation point.

$t > 0$ degradation, P_{tot} has been held constant by adjusting V_{GS} so that T_{fail} and T_{base} both remain constant. For now, it is assumed that changes in V_{GS} scale all portions of the device up or down in power by the same percentage. This assumption will be discussed in more detail later. Fig. 5 shows the resulting temperature profile for Fig. 4. The final temperature device temperature is everywhere cooler than before, despite the same T_{fail} goal, and the center changes far more than before. However, this time, the average channel temperature was much more constant and decreased by only 1°C . If the temperature were monitored by some means that extracts an averaged temperature, the user would wrongly think the temperature did not change during the life test.

Fig. 6 shows the modeled device power degradation (line with data points) based on the temperature profiles in Fig. 3 versus the expected degradation when it is assumed that T_{fail}

accurately reflects the temperature of the entire failing region as is commonly done (plain line). In this case, $T_{\text{fail}} = T_{\text{base}} + R_{\text{th}} \times P_{\text{tot}}$ is $200^\circ\text{C} + 0.04530 \times 4200 = 390.3^\circ\text{C}$. The simple one-temperature model yields a faster degradation rate because T_{fail} was based on the hottest part of the gate finger (see Fig. 3). Of course, A and E_a are determined based on the values that fit the observed degradation rate and not the other way around. The point of Fig. 6 is to show how a given choice of A and E_a affects the simulated degradation and that A and E_a extracted from degradation data will be different when different channel temperature assumptions are made.

As mentioned, the ultimate goal of the life test is to find the Arrhenius activation energy E_a and prefactor A for the devices under test, and this cannot be done exactly when the temperature of the failing region drifts during the test. To determine the extent of this error through modeling, the simulated life test must be run for at least two temperatures, then separately corrected for the power degradation for each using the drop in average power as a guide (as done experimentally), and finally extract E'_a and A' using only the total power degradation as must be done experimentally. In all cases, 10% drop in total power is considered a failure. These quantities are primed to differentiate them as output values, separate from the inputs used in (1). In this case, the same procedure was repeated at $T_{\text{base}} = 180^\circ\text{C}$. At each temperature, the “exact” R_{th} is extracted from the thermal model at the device finger center at $t = 0$, and in a sense, this is modeling the “ideal” experiment without measurement errors in R_{th} . Fig. 7(a) and (b) shows the results, where $E'_a = 1.526\text{ eV}$ and $A' = 1.30 \times 10^8$ are extracted by matching the time for 10% power degradation at this temperature and simultaneously for $T_{\text{base}} = 180^\circ\text{C}$. This contrasts with the inputs $E_a = 1.500\text{ eV}$ and $A = 2.00 \times 10^8$.

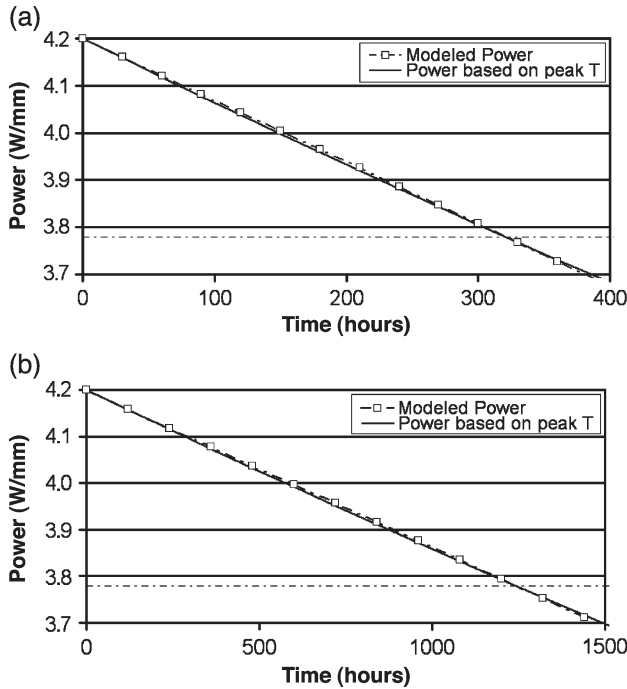


Fig. 7. (a) Degradation of *average* device power shown with time after adjusting E'_a and A' for the curve computed, assuming that the failure region is at T_{fail} . Otherwise, the curves are the same as in Fig. 6. (b) is the same as (a) but runs with a lower baseplate temperature of 180 °C instead of 200 °C. The thin dash-dotted line shows the 10% power degradation point.

Two temperatures and not three are needed to extract E'_a and A' because it is known that there is only one activation energy in the modeled degradation rate. A few test cases were run with a third temperature to verify this.

Simulations where the attempt to hold T_{fail} constant was done by adjusting gate voltage instead of increasing T_{base} are reported on last, because of a complicating factor. This is complicated by the fact that the proper local power adjustment factor depends on local transconductance (G_m), which may or may not degrade. Two different ways for the device to degrade were examined so that it would be known if this was an important consideration. In the first scenario (Scenario 1) investigated, the device has a local transconductance ($G_{m,local}$) that is initially uniform and also does not drop with time. Degradation in this case is instead assumed to be due to a locally varying threshold voltage shift. In this case, adjusting V_{GS} simply adds the same value everywhere to $I_{DS,local}$ and therefore also adds the same amount of power to the local power everywhere in the device. In the second scenario (Scenario 2), the device degrades by the reduction of $G_{m,local}$, but local threshold voltage remains fixed, and it is also assumed that the entire $V_{GS}-G_{m,local}$ curve for all V_{GS} (at least near the V_{GS} of interest) has degraded by the same percentage. In this case, a small change in V_{GS} will everywhere *multiply* the $I_{DS,local}$ and, therefore, the local power by the same factor. Effectively, degraded regions have less power recovery when V_{GS} is adjusted under Scenario 2 than under Scenario 1. Either way, degradation is measured by monitoring the power drop at the initial gate potential and is equivalent experimentally to periodically stopping the test to briefly return the gate potential

TABLE I
EXTRACTED E'_a AND A' ESTIMATES WHEN POWER IS HELD CONSTANT BY ADJUSTING V_{GS} FOR SCENARIO 1

R_{th} for T_{base} =180 °C run (K-m / W)	R_{th} for T_{base} =200 °C run (K-m / W)	E'_a (eV)	A'	T_{fail} for T_{base} = 180 °C run (°C)	T_{fail} for T_{base} = 200 °C run (°C)
0	0	1.250	6.10×10^9	180	200
0.015	0.015	1.613	4.33×10^{11}	243	263
0.03	0.03	2.023	3.08×10^{13}	306	326
0.04245	0.04530	1.526	1.17×10^8	358.3	390.3
0.045	0.045	2.478	2.19×10^{15}	369	389

TABLE II
EXTRACTED E'_a AND A' ESTIMATES WHEN POWER IS HELD CONSTANT BY ADJUSTING V_{GS} FOR SCENARIO 2

R_{th} for T_{base} =180 °C run (K-m / W)	R_{th} for T_{base} =200 °C run (K-m / W)	E'_a (eV)	A'	T_{fail} for T_{base} = 180 °C run (°C)	T_{fail} for T_{base} = 200 °C run (°C)
0	0	1.250	5.78×10^9	180	200
0.015	0.015	1.613	4.11×10^{11}	243	263
0.03	0.03	2.023	2.92×10^{13}	306	326
0.04245	0.04530	1.527	1.11×10^8	358.3	390.3
0.045	0.045	2.479	2.08×10^{15}	369	389

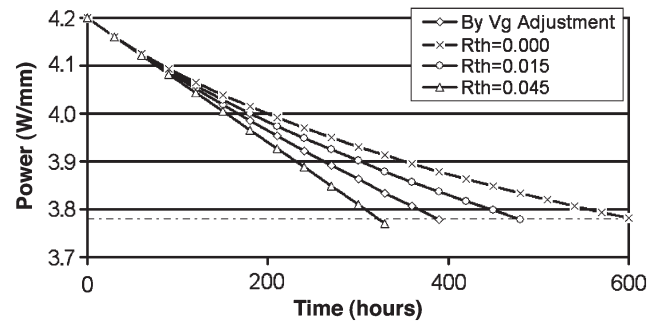


Fig. 8. Results for several guesses for R_{th} and for using V_{GS} adjustment to keep the power constant. All are for the same device with a starting baseplate temperature of 200 °C. The thin dash-dotted line shows the 10% power degradation point.

to its original value to monitor degradation and then back to resume the test without this affecting the device.

One advantage of this method is that the baseplate temperature is not adjusted, and R_{th} is not needed during the life test. R_{th} is still needed to extract E'_a and A' , and the choice of R_{th} is still critical for correct data. Extracted E'_a and A' are shown in Tables I and II, and values were found to agree by better than 1 meV and 10%, respectively, between Scenarios 1 and 2 for the same R_{th} ; these differences are not significant.

Finally, in Fig. 8, the same device under the same starting conditions was simulated with either T_{base} adjustment with an assumed R_{th} or V_{GS} adjustment to keep average power constant. In practice, R_{th} is not an exactly known parameter, and it is common in a practical life test to assume a fixed value for R_{th} for the entire test. For higher R_{th} values, the baseplate is forced to greater temperatures to keep the calculated value of T_{fail} constant, and this is why the degradation rate of the devices is faster for these runs. For $R_{th} = 0.045$, the degradation rate *accelerates* slightly with time despite the exponential decay expected from (1) because R_{th} is too high and the modeled temperature profiles (not shown) increase with time everywhere, with $T_{base} = 218.9$ °C by the end of the test. Table III shows

TABLE III

EXTRACTED E'_a AND A' ESTIMATES FOR VARIOUS ASSUMPTIONS OF R_{th} . IN ALL CASES EXCEPT FOR $R_{th} = 0$, BASEPLATE TEMPERATURE IS ADJUSTED IN AN ATTEMPT TO HOLD T_{fail} CONSTANT. FOR ALL SCENARIOS, THE INITIAL "CORRECT" VALUES USED IN THE POWER DEGRADATION COMPUTATIONS FOR E_a AND A ARE 1.5 eV AND 2×10^8 . THE FOURTH RUN DOES SO MUCH BETTER THAN THE REST BECAUSE, IN THAT CASE, THE KNOWN R_{th} FROM THE MODEL WAS USED IN THE ESTIMATION OF T_{fail} . THE REGION MODELED AS FAILING IS THE CENTER OF THE BOTTOM OF THE GATE

R_{th} for T_{base} =180 °C run (K·m / W)	R_{th} for T_{base} =200 °C run (K·m / W)	E'_a (eV)	A'	T_{fail} for T_{base} = 180 °C run (°C)	T_{fail} for T_{base} = 200 °C run (°C)
0	0	1.264	5.21×10^9	180	200
0.015	0.015	1.607	2.84×10^{11}	243	263
0.03	0.03	1.989	1.47×10^{13}	306	326
0.04245	0.04530	1.526	1.30×10^8	358.3	390.3
0.045	0.045	2.413	7.66×10^{14}	369	389

TABLE IV

EXTRACTED E'_a AND A' ESTIMATES FOR LIFE TESTS OF DIFFERENT DEVICES. THE "BASELINE" DEVICE IS $8 \times 400 \mu\text{m}$, 25- μm GATE PITCH, RUN AT 4.2 W/mm, WITH 350- μm SiC SUBSTRATE, AND LIFE-TESTED AT 180 °C AND 200 °C, WITH E_a AND A BEING 1.5 eV AND 2×10^8 , RESPECTIVELY. ALL OTHERS ARE IDENTICAL TO THE BASELINE EXCEPT FOR THE DIFFERENCES LISTED HERE. FOR ALL, POWER IS HELD CONSTANT BY ADJUSTING V_{GS} , ASSUMING THAT DEGRADATION PROCEEDS ACCORDING TO SCENARIO 2

Device	E'_a (eV)	A'	T_{fail} for T_{base} = 180 °C run (°C)	T_{fail} for T_{base} = 200 °C run (°C)
Baseline	1.527	1.11×10^8	358.3	390.3
2.8W/mm	1.518	1.32×10^8	289.9	317.1
175 μm SiC thk.	1.525	1.20×10^8	330.3	360.1
$2 \times 400 \mu\text{m}$	1.505	1.76×10^8	269.1	294.6
$2 \times 150 \mu\text{m}$	1.504	1.77×10^8	257.9	282.6
$E_a = 2.0 \text{ eV}$	2.035	9.52×10^7	358.3	390.3
35 μm pitch	1.521	1.20×10^8	335.9	366.2
"Complex initial power"	1.527	1.22×10^8	354.9	386.6

the results for E'_a and A' ; it is shown that R_{th} greatly affects the extracted data. Fig. 8 allows for a comparison to Sozza *et al.* [4], where devices were stressed under constant power by V_{GS} adjustment (their Fig. 6). While a direct comparison cannot be made due to the fact that this is a different device under different conditions (this paper specifically focuses on larger devices than theirs with greater temperature variations within a device), it can be seen that most devices have a degradation rate that slows gradually with time as expected. It is also apparent that there can still be a large device-to-device variation in the degradation rate of nominally identical AlGaIn/GaN HEMT devices, which makes a direct comparison difficult.

Table IV shows how the extracted E'_a and A' vary with variations in the tested device geometry and conditions, and can be used as a guide to the error introduced experimentally by ignoring the temperature variation in the device during a life test, assuming. In other words, E_a is predicted to be overestimated slightly, and A is underestimated significantly compared to the real values. As expected, variations that reduce the heating within the device, through either reduced power or reduced thermal resistance, will make the extracted parameters more accurate. This is particularly true for reducing the active area of the device. The "complex initial power" entry of Table IV is where the local initial power was set as $P(x, n, t) \propto$

TABLE V

E'_a AND A' FROM TEN LIFE TESTS AT 180 °C AND 200 °C ARE EXTRAPOLATED TO COMPUTE LIFETIME FOR 100 °C BASEPLATE. THE 200 °C BASEPLATE DEVICE FAILED AT 378 h AND THE 180 °C BASEPLATE DEVICE AT 1465 h AT 4.20 W/mm, AND 7430 AND 31 390 h AT 2.80 W/mm. ALL OF THE EXTRAPOLATED LIFETIMES (LAST COLUMN) SHOULD BE COMPARED TO A SIMULATION OF DEGRADATION OF THE SAME DEVICE BUT AT 100 °C BASEPLATE WHICH FAILED AT 1.50×10^6 h AT 4.20 W/mm

R_{th} for T_{base} =180 °C run (K·m / W)	R_{th} for T_{base} =200 °C run (K·m / W)	Power dissipation for lifetest (W/mm)	E'_a (eV)	A'	Extrapolated t_{fail} for T_{base} =100 °C
0	0	4.20	1.250	5.78×10^9	1.41×10^6
0.015	0.015	4.20	1.613	4.11×10^{11}	1.14×10^6
0.03	0.03	4.20	2.023	2.92×10^{13}	9.74×10^5
0.04245	0.04530	4.20	1.527	1.11×10^8	1.51×10^6
0.045	0.045	4.20	2.479	2.08×10^{15}	8.62×10^5
0	0	2.80	1.331	2.16×10^9	4.71×10^7
0.015	0.015	2.80	1.584	4.47×10^{10}	4.77×10^6
0.03	0.03	2.80	1.858	9.23×10^{11}	6.68×10^5
0.03925	0.04182	2.80	1.518	1.32×10^8	1.05×10^6
0.045	0.045	2.80	2.154	1.90×10^{13}	1.16×10^5

$T(x, n, t)^{-0.6}$ to account for the expected temperature dependence of local power in a new device under the bias conditions in place, as mobility and saturation velocity drop with increasing temperature [2]. This relation will change somewhat under different biases, for example, with the exponent increasing to ~ 0.9 –1.0 under an open channel [2], [13]. As an example, the initial power for the 200 °C baseplate "baseline" device was 4.085 W/mm in the center and 4.473 W/mm at the farthest point, but with the average value still 4.2 W/mm. Accounting for this effect, the 180 °C and 200 °C devices were about 4° cooler in the center and lasted about 6% longer during the life test, but there was no significant effect on extracted energy.

The same modeling process used for the life tests can be used to simulate the device life under operating conditions. In this case, the same degradation mode is assumed to be active, but with a lower baseplate temperature. It is also assumed that degradation does not depend directly on bias conditions or total dissipated power but only indirectly through the effect of changing temperature in (1). Table V compares lifetime calculated using E'_a and A' from life tests at 180 °C and 200 °C and compared to modeled lifetime at 100 °C baseplate, where degradation proceeds according to Scenario 2. It is shown that the extrapolated lifetime compares fairly well to the modeled lifetime, *if the power is the same for the life test as for the simulated operating conditions*. This is true even when E'_a and A' are off because the wrong R_{th} was used. When power is different for the life test versus the predicted usage, it is critical to use the right R_{th} . The observations made in this paragraph were found to hold true even if fundamental changes are made to the model such as disabling the temperature dependence of the thermal conductivity or moving the region where T_{fail} is extracted far from the gate (in which case it was modeled so that both the failing region and the region for determining R_{th} were the same off-gate region). However, if a different region is measured to get R_{th} through error or limited spatial resolution of the measuring technique than is actually failing, then, of

course, E'_a will be off as discussed, and the previous statement does not apply.

IV. CONCLUSION

It can be seen that there is a modest error introduced in the values of E'_a and A' extracted from a life test from neglecting the spatial and variation over time of R_{th} and instead using the peak value. This is true even when using the same R_{th} extracted from the thermal model for the simulated life test. These errors are greatly compounded when R_{th} is not known and approximate values are used instead, highlighting the importance of getting this parameter and its temperature dependence right in a life test. Of course, it is impossible to know R_{th} without knowing exactly where the fail region is, and even then, there may be considerable uncertainty in this parameter. However, these errors can be minimized by careful modeling efforts and by careful measurement and, in general, will be much less for smaller devices.

It is shown that the error in E'_a and A' becomes a much greater problem for lifetime prediction specifically when the dissipated power is not the same for the life test as for the usage conditions. Also, it is seen that peak and average fail region temperature evolve differently depending on how the life test is performed (baseplate versus gate voltage adjustment), so that it is possible for this average temperature to stay relatively constant while the peak temperature changes considerably; an electrical test sensitive to this average temperature would be misleading.

We expect the same issues to be present in the RF device testing, because similar temperature profiles as discussed here have been shown experimentally for RF drive as for dc drive [14], and temperature is again an accelerating factor in degradation based on the same Arrhenius model (except that, typically, RF output power replaces I_{DS}). However, RF life testing is commonly done by driving the devices to a set power saturation level and not to a set total power dissipation. As such, there is typically a different amount of power dissipation during the life testing than there is in the device during actual use, and so, based on our findings, errors in R_{th} are likely to be much more critical. We hope to extend this paper to allow simulation of RF measurements to test this hypothesis.

ACKNOWLEDGMENT

The author would like to thank G. D. Via for a critical reading of this manuscript, A. Crespo, K. D. Leedy, D. Dorsey, and C. Bozada for several helpful discussions, and the Air Force Research Laboratory Sensors Directorate device team members R. C. Fitch, J. K. Gillespie, G. H. Jessen, and D. Langley for the experimental data used for model validation.

REFERENCES

- [1] Y.-F. Wu, A. Saxler, M. Moore, R. P. Smith, S. Sheppard, P. M. Chavarkar, T. Wisleder, U. K. Mishra, and P. Parikh, "30-W/mm GaN HEMTs by field plate optimization," *IEEE Electron Device Lett.*, vol. 25, no. 3, pp. 117–119, Mar. 2004.
- [2] E. R. Heller and A. Crespo, "Electro-thermal modeling of multifinger AlGaIn/GaN HEMT device operation including thermal substrate effects," *Microelectron. Reliab.*, vol. 48, no. 1, pp. 45–50, Jan. 2008.
- [3] M. Kuball, S. Rajasingam, A. Sarua, M. J. Uren, T. Martin, B. T. Hughes *et al.*, "Measurement of temperature distribution in multifinger AlGaIn/GaN heterostructure field-effect transistors using micro-Raman spectroscopy," *Appl. Phys. Lett.*, vol. 82, no. 1, pp. 124–126, Jan. 2003.
- [4] A. Sozza, C. Dua, E. Morvan, B. Grimber, and S. L. Delage, "A 3000 hours DC life test on AlGaIn/GaN HEMT for RF and microwave applications," *Microelectron. Reliab.*, vol. 45, no. 9–11, pp. 1617–1621, Sep.–Nov. 2005.
- [5] *Guidelines for GaAs MMIC and FET Life Testing*, Electron. Ind. Assoc. Eng. Dept., JEDEC Publication, Washington, DC, Jan. 1983. JEP 118.
- [6] Canonsburg, PA: ANSYS, Inc. [Online]. Available: www.ansys.com
- [7] V. O. Turin and A. A. Balandin, "Electrothermal simulation of the self-heating effects in GaN-based field-effect transistors," *J. Appl. Phys.*, vol. 100, no. 5, p. 054 501, Sep. 2006.
- [8] E. A. Burgemeister, W. von Muench, and E. Pettenpaul, "Thermal conductivity and electrical properties of 6H silicon carbide," *J. Appl. Phys.*, vol. 50, no. 9, pp. 5790–5794, Sep. 1979.
- [9] J. Zou, D. Kotchikov, A. A. Balandin, D. I. Florescu, and F. H. Pollak, "Thermal conductivity of GaN films: Effects of impurities and dislocations," *J. Appl. Phys.*, vol. 92, no. 5, pp. 2534–2539, Sep. 2002.
- [10] A. Sarua, J. Hangfeng, K. P. Hilton, D. J. Wallis, M. J. Uren, T. Martin, and M. Kuball, "Thermal boundary resistance between GaN and substrate in AlGaIn/GaN electronic devices," *IEEE Trans. Electron Devices*, vol. 54, no. 12, pp. 3152–3158, Dec. 2007.
- [11] K. A. Filippov and A. A. Balandin, "The effect of the thermal boundary resistance on self-heating of AlGaIn/GaN HFETs," *MRS Internet J. Nitride Semicond. Res.*, vol. 8, no. 4, 2003. [Online]. Available: <http://nstr.mij.mrs.org/8/4/>
- [12] V. O. Turin and A. A. Balandin, "Performance degradation of GaN field-effect transistors due to thermal boundary resistance at GaN/substrate interface," *Electron. Lett.*, vol. 40, no. 1, pp. 81–83, Jan. 2004.
- [13] B. S. Kang, S. Kim, J. R. La Roche, F. Ren, R. C. Fitch, J. K. Gillespie, N. Moser, T. Jenkins, J. Sewell, D. Via, A. Crespo, A. M. Dabiran, P. P. Chow, A. Osinsky, and S. J. Pearton, "Annealing temperature stability of Ir and Ni-based Ohmic contacts on AlGaIn/GaN high electron mobility transistors," *J. Vac. Sci. Technol. B, Microelectron. Process. Phenom.*, vol. 22, no. 6, pp. 2635–2639, Nov. 2004.
- [14] S. Nuttinck, R. Mukhopadhyay, C. Loper, S. Singhal, M. Harris, and J. Laskar, "Direct on-wafer non-invasive thermal monitoring of AlGaIn/GaN power HFETs under microwave large signal conditions," presented at the European Microwave Week (Gallium Arsenide Applications Symp.), Amsterdam, The Netherlands, Oct. 2004.



Eric R. Heller was born in Dayton, OH, in 1975. He received the B.S. degree in physics from Wright State University, Dayton, in 1996 and the Ph.D. degree in physics from The Ohio State University, Columbus, in 2003.

He was with the Intel Corporation as a Senior Process Engineer. He is currently with the Semiconductor Research Center, Wright State University, as an Associate Research Physicist and also with the Materials and Manufacturing Directorate, Air Force Research Laboratory, Wright-Patterson Air Force Base, Dayton. His current research interests include physics-based modeling of electrical, thermal, and stress effects in wide bandgap semiconductor devices, with a current focus on the initial performance and long-term degradation of GaN high-electron mobility transistors.